

## Review

# Glycolipids as immunostimulating agents

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**Abstract**—The processing and presentation of lipid antigens by antigen presenting cells (APC) is important for defense against infection, tumor immunosurveillance, and autoimmunity. CD1, a family of cell surface glycoproteins, is responsible for the binding and presentation of lipid antigens to receptors expressed on the surface of T lymphocytes. Among the several (glyco)lipids identified to cause T-cell stimulation in complex with CD1,  $\alpha$ -galactosyl ceramide ( $\alpha$ -GalCer) is one of the most well studied. A combination of structure–activity relationship (SAR), crystallographic studies, and discovery of new ‘natural’ antigens has led to greater understanding of the structural requirements for optimal natural killer T-cell activation.

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## 1. Introduction

The CD1 family of antigen presenting glycoproteins mediates T-cell responses through the presentation of self and foreign lipids, glycolipids, lipopeptides, or amphipathic small molecules to T-cell receptors (TCR).<sup>1–3</sup> In humans, the various CD1 isoforms are categorized as group I (CD1a, b, c, and e) and group II (CD1d) based on sequence similarity.<sup>4</sup> Through the

binding and presentation of endogenous and exogenous lipid antigens to TCRs, the CD1 pathway is reminiscent of peptide presentation by major histocompatibility complex (MHC) class I and class II molecules.<sup>5</sup>

CD1 molecules are glycosylated heterodimers composed of a heavy chain polypeptide non-covalently associated with  $\beta$ 2-microglobulin ( $\beta$ 2m). Group I and II CD1 proteins are mainly expressed on cortical thymocytes, B-cell (CD1c), and antigen presenting cells (APC), such as dendritic cells (DC). The group II isoform, CD1d, is additionally expressed on macrophages, epithelial cells, and hepatocytes.<sup>1</sup>

**Keywords:** Glycolipid;  $\alpha$ -Galactosyl ceramide analogs; CD1d.

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Crystal structures of human CD1a,<sup>6,7</sup> hCD1b,<sup>8,9</sup> hCD1d<sup>10</sup> and mouse CD1d<sup>11–15</sup> (mCD1d), some in complex with their respective antigens, have revealed how differences in the topology of their respective binding grooves enable them to have a degree of ligand specificity, while maintaining the ability to present a diverse set of antigenic lipids (Fig. 1).

Wilson and coworkers solved the initial structure of mCD1d which revealed an overall fold similar to the MHC class I proteins. The  $\alpha$ -chain folds into three domains ( $\alpha 1$ ,  $\alpha 2$ , and  $\alpha 3$ ) and is closely associated with  $\beta 2m$ . The membrane distal  $\alpha 1$ , and  $\alpha 2$ , domains form the binding groove which is composed of an eight-stranded anti-parallel  $\beta$ -sheet floor traversed by two anti-parallel  $\alpha$ -helices<sup>11</sup> (Fig. 2).

In the antigen binding groove, two deep pockets, designated A' and F', are lined with hydrophobic residues that account for the affinity for long hydrophobic chains, such as lipid tails. Glycosylceramides are presented by CD1d in a specific manner, with the fatty acyl and sphingosine tails extending into the A' and F' pockets, respectively. An extensive hydrogen bonding network tightly locks the sugar headgroup in place, which orients and stabilizes the glycolipid for presentation to the TCR.<sup>10,13</sup> The recent elucidation of the T-cell receptor/ $\alpha$ -GalCer/hCD1d complex has shed light upon the interactions found at the interface of the ternary complex.<sup>16</sup> It confirmed that the highly conserved  $\alpha$ -chain of the TCR contributes a majority of the total buried surface area on binding of the TCR to hCD1d. Additionally, the high specificity displayed by the TCR for  $\alpha$ -GalCer and closely related glycolipids was explained through the extensive hydrogen bonding formed with the 2', 3', and 4' galactose hydroxyl groups and 3 hydroxyl of the sphingosine chain.

The crystal structure of hCD1b revealed how antigens with lipid chains of up to 80 carbons in length are accommodated. Whereas both hCD1a and hCD1d have only two antigen-binding pockets, A' and F', hCD1b has a total of four, that have been named A', F', C', and T'. Interconnecting the A', T', and F' pockets creates a continuous channel 70 Å in length that can enclose the long hydrocarbon tails (up to C80) characteristic of hCD1b antigens. Although structurally conserved among the solved CD1 isoforms, the A' pocket

of CD1a is unlike that of hCD1b and mCD1d. It functions more like a 'molecular ruler' to selectively bind alkyl chains of distinct length since it abruptly ends at one terminus. This is in comparison to how the A' pocket is part of a continuous channel as seen in the structures of hCD1b and mCD1d.

## 2. CD1 Antigens

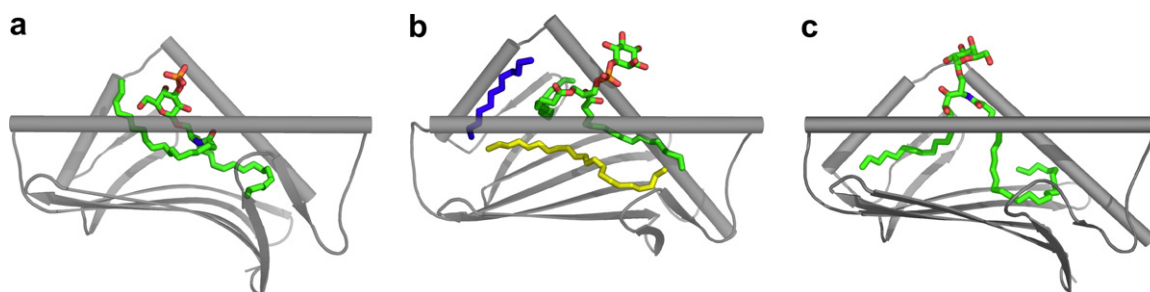
A great assortment of lipids, glycolipids, lipopeptides, and amphipathic small molecules have now been shown to bind to the CD1 isoforms, some of which are shown below (Fig. 3).

These antigens are generally either of bacterial or self-origin. The ability to present a diverse set of structures arises from differences in the shape, connectivity, and overall volume of the CD1 binding grooves.<sup>17</sup>

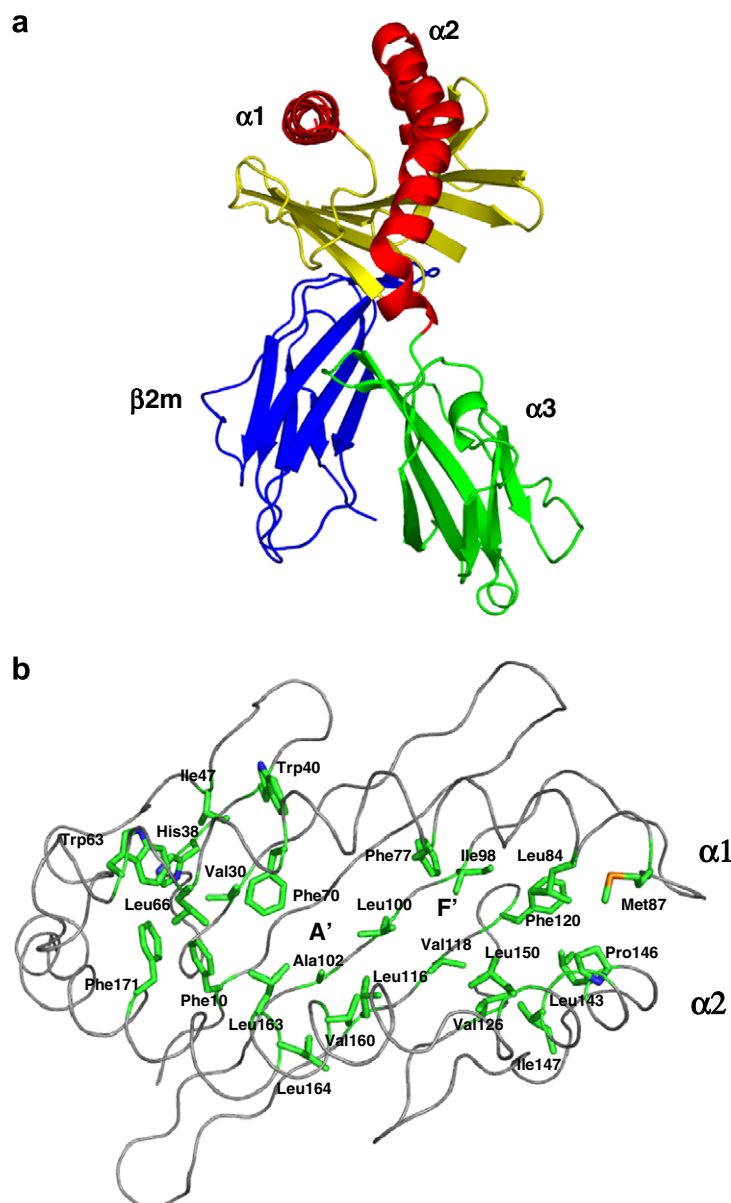
### 2.1. The model antigen: $\alpha$ -galactosyl ceramide

A great assortment of lipids, glycolipids, lipopeptides, and amphipathic small molecules have now been shown to bind to the CD1 isoforms. The most well studied is a CD1d-presented antigen,  $\alpha$ -galactosyl ceramide ( $\alpha$ -GalCer). It initially drew interest when extracts derived from the marine sponge, *Agelas mauritanus*, demonstrated anti-tumor properties in murine models. This potent activity was later traced to a family of  $\alpha$ -linked glycosphingolipids, called agelasphins, from which  $\alpha$ -GalCer was structurally optimized.<sup>18–20</sup>  $\alpha$ -GalCer consists of a galactosyl moiety  $\alpha 1$ -linked to a ceramide, a long-chain amino alcohol, D-erythro-phytosphingosine, N-acylated with a 26-carbon fatty acid (Fig. 4).

The use of  $\alpha$ -GalCer has shown promising potential in the treatment of several diseases, including cancer,<sup>21–23</sup> malaria,<sup>24</sup> and hepatitis B,<sup>25</sup> while also helping to fend off certain bacterial infections.<sup>26,27</sup> The molecule also functions in the suppression of autoimmune disorders<sup>28</sup> and exhibits adjuvant activity.<sup>29</sup> Taniguchi and coworkers first identified  $\alpha$ -GalCer as a ligand for the activation of CD1d-mediated natural killer T (NKT) cells, a subpopulation of T lymphocytes that express a semi-invariant TCR (V $\alpha 14$ -J $\alpha 18$  in mice and V $\alpha 24$ -J $\alpha 18$  in humans) and are reactive to  $\alpha$ -GalCer.<sup>30</sup> NKT cells are able to modulate the immune system through rapid secretion



**Figure 1.** CD1 complexes. Crystal structures of CD1a, CD1b, and CD1d bound to their respective ligands. The  $\alpha 3$  and  $\beta 2m$  subunit domains have been omitted for clarity. (a) CD1a-Sulfatide. (b) CD1b-Phosphatidylinositol. (c) CD1d- $\alpha$ GalCer.



**Figure 2.** The mouse CD1d structure. (a) A ribbon diagram of the mCD1d structure is shown. Each domain is labeled. The anti-parallel helices (red) and  $\beta$ -sheet (yellow) of the  $\alpha 1$  and  $\alpha 2$  domains form a binding groove that accommodates the long hydrocarbon tails of (glyco)lipid antigens. (b) mCD1d binding pocket is lined with hydrophobic residues. A view looking down into the binding groove. The side chains (green) of hydrophobic residues are labeled along with the location of the A' and F' pockets.

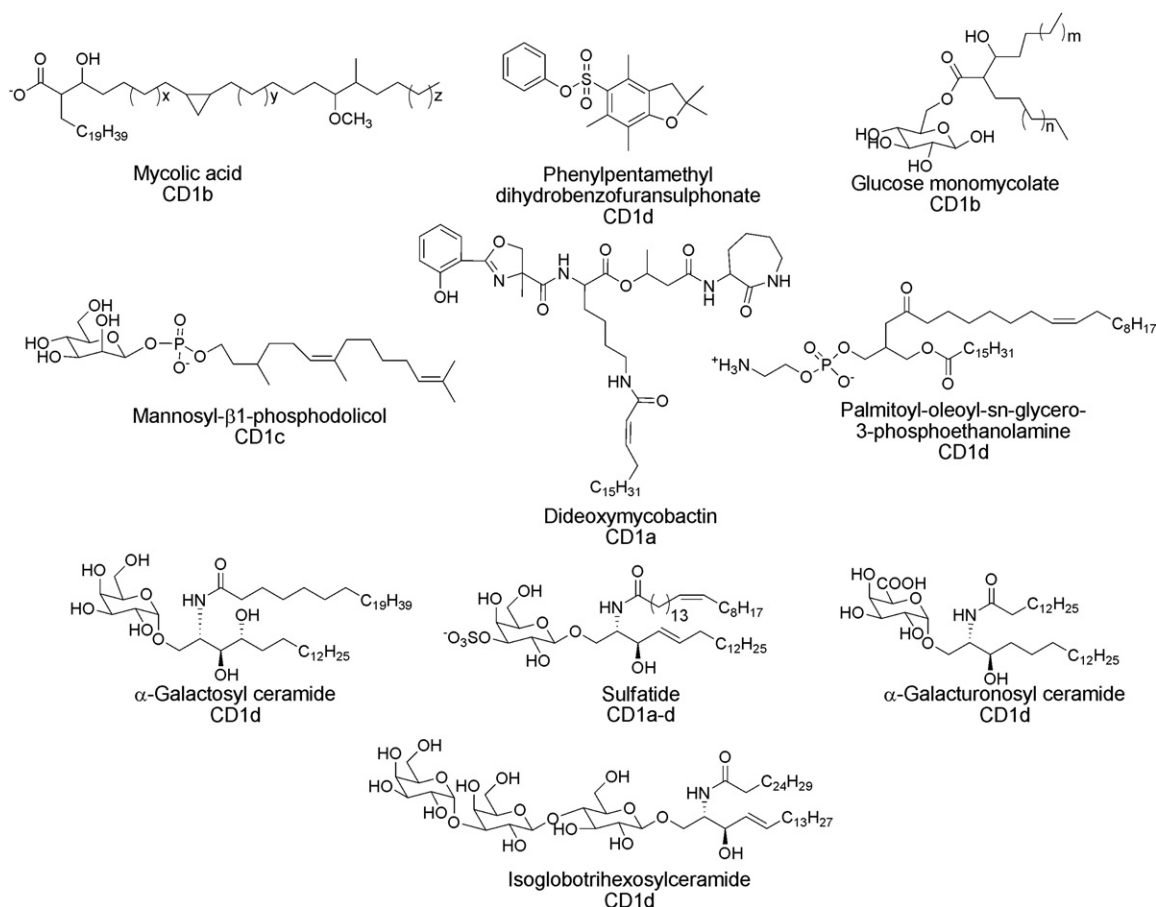
of regulatory cytokines including, but not limited to, IFN- $\gamma$  and IL-4.<sup>31</sup> Stimulation of NKT cells is followed by downstream activation of other cells in the immune system, such as natural killer (NK) cells, DCs, macrophages, B cells, and conventional T cells.<sup>32–36</sup> Many of these cells, in turn, go on to secrete additional immune modulating cytokines creating an entire activation cascade and are responsible for the therapeutic effects of  $\alpha$ -GalCer.

## 2.2. Limitations of $\alpha$ -galactosyl ceramide

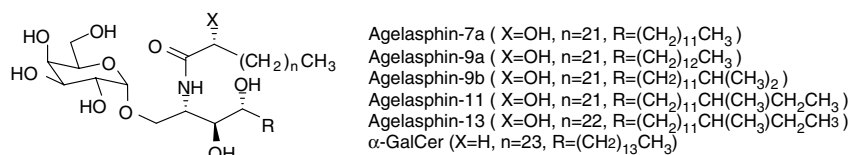
Activation of NKT cells occurs when a TCR recognizes the CD1d/ $\alpha$ -GalCer bimolecular complex. The recognition event induces the rapid secretion of T helper 1 (Th1) and T helper 2 (Th2) cytokines IFN- $\gamma$  and IL-4,

respectively, by NKT cells. Secondary activation of other cell types include NK cells, B cells, CD8<sup>+</sup> T cells, dendritic cells, and myeloid cells as well as the differentiation of CD4<sup>+</sup> T cells into either Th1 or Th2 cells. This ability to influence both innate and adaptive immune responses puts NKT cells in the position to play a pivotal role in regulating immune responses in both host defense and autoimmune diseases.<sup>37,38</sup>

However, the opposing Th1 and Th2 polarizing functions of  $\alpha$ -GalCer limit its effectiveness as an immunomodulator. Th1 cytokines, such as IFN- $\gamma$ , are stimuli which drive the development of naïve helper T cells toward Th1 type cell formation. In contrast, Th2 cytokines like IL-4 send pre-Th cells down the path of Th2 type cell formation.<sup>39</sup> Th1 cells participate in



**Figure 3.** Selected CD1 antigens. The majority of antigenic ligands identified are bound to CD1 through hydrophobic interactions allowing for presentation of a polar head group to an incoming TCR.



**Figure 4.** Structures of agelasphins and α-GalCer. α-galactosyl ceramide is a chemically optimized variant of the numerous agelasphins found naturally.

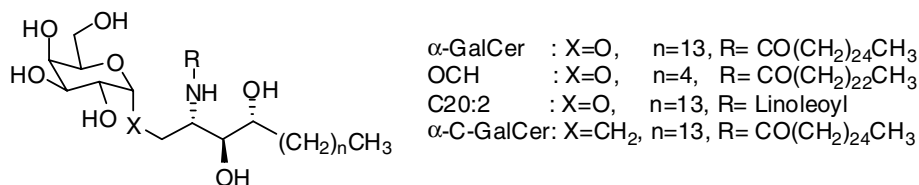
cell-mediated immunity (CMI) and are essential for controlling intracellular pathogens, while Th2 cells participate in antibody-mediated immunity control of extracellular pathogens. The balance between Th1 and Th2 cytokines is carefully controlled and any disruption between the two can cause disease.<sup>40</sup> Therapeutic strategies could involve trying to restore Th1/Th2 balance through in vivo modulation of NKT cells. For instance, multiple sclerosis (MS) is characteristic of hyporesponsive Th2 cells and thus a Th1-like profile,<sup>41</sup> while many types of cancer have a predominant Th2 response.<sup>42</sup> In addition, upregulation of either pathway causes down-regulation of the other through reciprocal inhibition.<sup>43</sup>

Th1 cytokines are thought to mediate the antitumor, antiviral, and antibacterial effects of α-GalCer. Its effectiveness is limited though, because α-GalCer induced activation of NKT cells causes rapid secretion of both

Th1 and Th2 cytokines. The production of IL-4 may mask or limit the beneficial effect of IFN-γ. In a Phase I study, α-GalCer was ineffective in the treatment of solid-tumors possibly because the therapeutic effects of IFN-γ were hindered by IL-4 giving no net benefit.<sup>44</sup> In animal models of various autoimmune diseases, NKT cell responses to glycolipid stimulation have also resulted in mixed outcomes.

### 2.3. Therapeutic potential of α-galactosyl ceramide analogs

α-GalCer, a vital tool in the field of NKT cell biology, has been utilized in studies for the treatment of many diseases. Its efficacy has been limited because of the reciprocal inhibition of Th1 and Th2 cytokines. Attempts to selectively control the rapid secretion of cytokines by NKT cells have led to the development



**Figure 5.**  $\alpha$ -GalCer analogs. Numerous analogs, including changes to the anomeric oxygen, lipid tail length, and degree of unsaturation, have been examined.

of several  $\alpha$ -GalCer analogs with different but interesting immunomodulatory properties. The mechanism by which these analogs elicit the dissimilar responses remains unclear (Fig. 5).

With some exceptions such as asthma, certain autoimmune diseases are characteristic of hyporesponsiveness to Th2 and overactivation of Th1 cells. Skewing of the cytokine release profile to Th2 would be beneficial for the treatment of these diseases, but any induction of IFN- $\gamma$  may be harmful. A direct relationship has been shown relating the shortening of lipid tail lengths and biasing of the cytokine release profile toward a Th2 response.<sup>45</sup> OCH, a sphingosine and fatty acyl truncated analog of  $\alpha$ -GalCer, protects mice against the development of experimental autoimmune encephalomyelitis (EAE), a mouse model for multiple sclerosis. This result was attributed to the biased production of IL-4 by OCH and the suppression of myelin antigen-specific Th1 responses.<sup>46,47</sup> Another  $\alpha$ -GalCer analog which exhibits skewing toward Th2 responses is C20:2.<sup>48</sup> It is a variant that shortens the fatty acid from C26 to C20 and introduces two *cis*-double bonds at C11 and C14.

Conversely, another analog,  $\alpha$ -C-GalCer, showed enhanced Th1 response and thus had 100- to 1000-fold improved activity against melanoma metastases and malaria compared with  $\alpha$ -GalCer.<sup>49,50</sup> Both are diseases where a Th1 response is beneficial. In this analog, the O-linkage between the sugar and ceramide is replaced with a C-linkage giving the glycosidic bond *in vivo* stability to enzymatic degradation. The improved activity of  $\alpha$ -C-GalCer may also be attributed to changes in the electron density of the galactosyl moiety affected by  $\alpha$ -anomeric atom.

### 3. Structural optimization of $\alpha$ -galactosyl ceramide

#### 3.1. Modification of the galactosyl moiety of $\alpha$ -GalCer

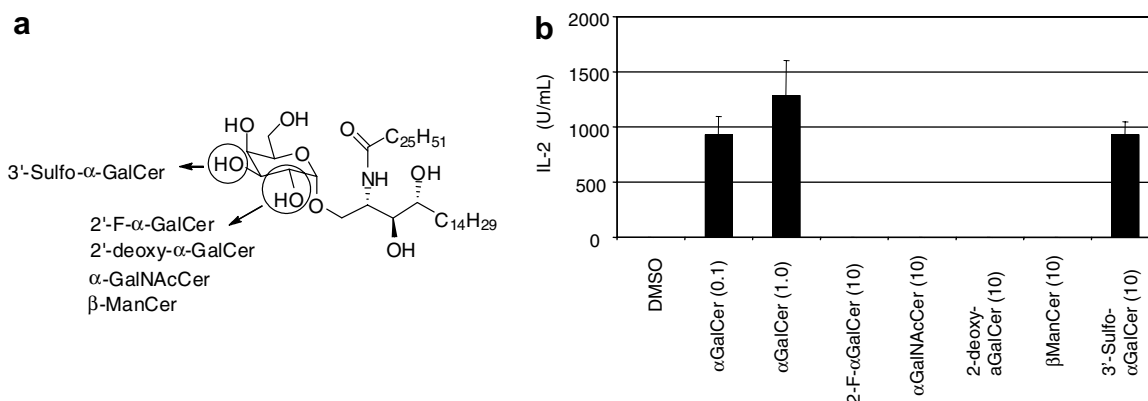
Since its discovery,  $\alpha$ -GalCer has been the prototypical antigen for the study of NKT cell stimulation. The glycolipid itself is a modified analog of the natural class of compounds, agelasphins.<sup>18</sup> The unparalleled potency of  $\alpha$ -GalCer has been the motivation for structure–activity relationship analysis and has led to many interesting observations about the specificity of the TCR/glycolipid/CD1d interaction. Initially, Taniguchi and coworkers examined several glycoside analogs of  $\alpha$ -GalCer for their proliferative responses to murine NKT cells.<sup>30</sup> Of these analogs,  $\alpha$ -linked glucosyl analog ( $\alpha$ -GlcCer)

showed slightly diminished activity compared to  $\alpha$ -GalCer. On the other hand,  $\alpha$ -linked mannosyl ceramide ( $\alpha$ -ManCer) and  $\beta$ -GalCer were not at all active, suggesting the importance of the 2'-hydroxyl group of the galactosyl moiety and  $\alpha$ -linkage to the anomeric carbon. Taniguchi and coworkers also tested several disaccharide analogs, Gal $\alpha$ 1-6Gal $\alpha$ 1-1'-Cer, Gal $\alpha$ 1-2Gal $\alpha$ 1-1'-Cer, Gal $\alpha$ 1-4Glc $\beta$ 1-1'-Cer, Gal $\alpha$ 1-6Glc $\alpha$ 1-1'-Cer, and galactofuranose $\beta$ 1-3Gal $\alpha$ 1-1'-Cer. The results illustrated the importance of the  $\alpha$ -anomeric configuration of the inner sugar, although none of the diglycosylated compounds were more potent than the monoglycosylated  $\alpha$ -GalCer. In a later study by Kronenberg and coworkers, an antigen processing by a lysosomal enzyme,  $\alpha$ -galactosidase A, was shown to play a role in the generation of a monosaccharide epitope for the Gal $\alpha$ 1-2GalCer and the Gal $\alpha$ 1-3GalCer glycolipids but not Gal $\alpha$ 1-6GalCer.<sup>51</sup>

Modification of the 2'-hydroxyl of the galactose moiety has been extensively explored. Essentially, any modification of the 2'-hydroxyl to 2'-fluoro, 2'-deoxygalactosyl, or 2'-acetoamino-2'-deoxygalactosyl abolished any activation of murine NKT cell hybridomas.<sup>52</sup> Sulfation of the 3'-hydroxyl group resulted in a compound, 3-*O*-sulfo- $\alpha$ -GalCer, with almost comparable activity to the parent compound,  $\alpha$ -GalCer, in activation assays of mice NKT hybridomas and a human NKT cell line.<sup>53</sup> The 4'- and 6'-positions have also proven to be amenable toward modification. The change from 4'-axial (gal) to equatorial (glu) only moderately affected activity,<sup>30</sup> while more severe changes to the 6'-position did not abolish activity (Fig. 6).

Savage and coworkers substituted the 6'-hydroxy with an acetamide to yield a compound with comparable activity to  $\alpha$ -GalCer and improved solubility in organic and aqueous solvents.<sup>54</sup> In addition, a variety of small fluorophores and biotin have been appended on the 6'-hydroxy and were well tolerated, making such compounds useful for the study of glycolipid trafficking and CD1d loading.<sup>55</sup> Oxidation of the 6'-position to a carboxylate, in the case of *Sphingomonas* glycosphingolipids (GSLs), was accepted.<sup>52,56</sup> In spite of all these studies, galactose still remains unrivaled as the prototypical head group to maximize NKT cell activation. Subsequent to a few of the aforementioned studies, the crystal structures of both human and murine CD1d in complex with  $\alpha$ -GalCer<sup>10</sup> and its truncated analog, PBS-25,<sup>13</sup> respectively, were determined. The crystallographic structures confirmed the earlier observation about the importance of the 2'-hydroxyl, which makes extensive interactions with CD1d. In the murine





**Figure 6.** Modification of galactose head group. (a) Structures of galactose head group analogs of  $\alpha$ -GalCer. (b) IL-2 secretion by murine 1.2 hybridoma when stimulated by indicated glycolipids ( $\mu$ g/well) loaded on CD1d-coated plates. CD1d molecules (10  $\mu$ g/mL in PBS) were coated in a 96-well plate by incubation for 1 h at 37 °C. IL-2 release was measured after 16 h of culture in a sandwich ELISA.

structure, the galactose headgroup makes an important hydrogen bond with Asp153, effectively helping to orient the sugar for presentation to the TCR. Even though the 3'-hydroxyl also hydrogen bonds to Asp 153, it is more solvent accessible than the 2'-hydroxyl.

### 3.2. Modification of the phytosphingosine scaffold

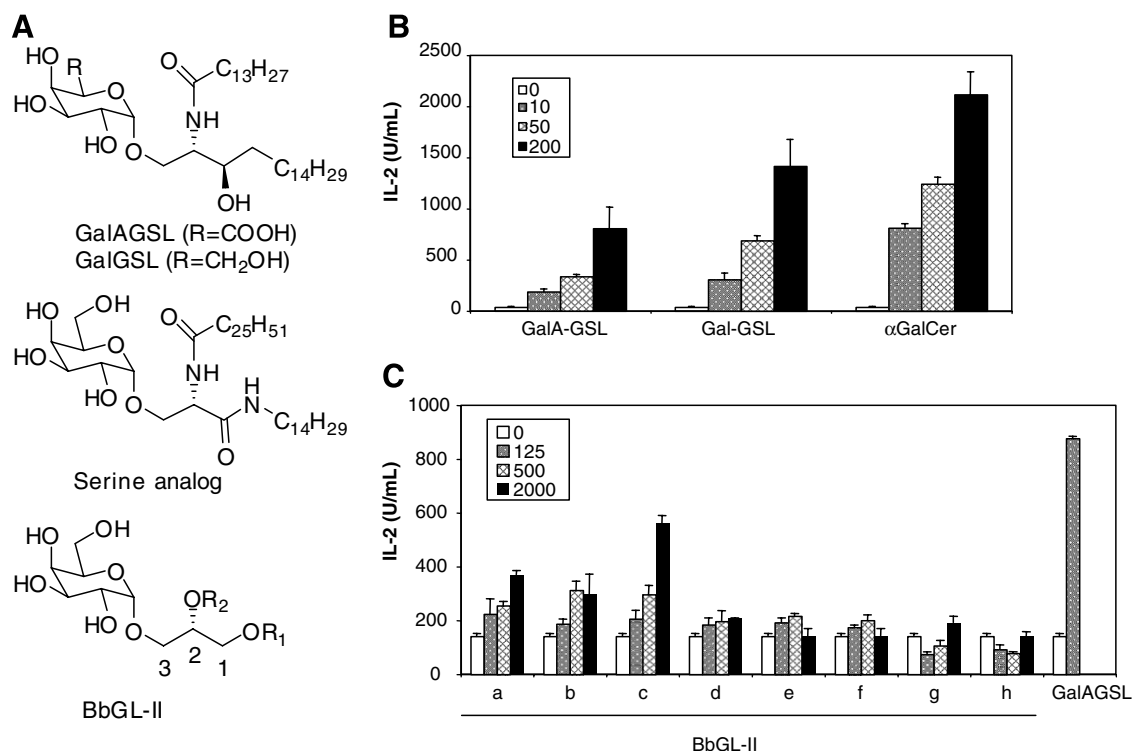
More extensive studies have been done with the lipid portion of  $\alpha$ -GalCer. The 2S, 3S, 4R orientation of the 2-amino-3, 4-diol are essential components of the phytosphingosine chain. The 3-hydroxyl group of the phytosphingosine chain is crucial for antitumor activity by the comparison of the 4-deoxy and 3,4-dideoxy analogs of  $\alpha$ -GalCer.<sup>18</sup> Kronenberg and coworkers also proved that the lack of a 3-hydroxyl group on the phytosphingosine chain results in the complete loss of the binding between glycolipid-CD1d complex and TCR as observed by surface plasmon resonance.<sup>57</sup> Isosteric replacement of the 2-amino functionality with a 1,2,3-triazole created analogs with comparable stimulatory effects as  $\alpha$ -GalCer and a skewing towards Th2 cytokine response.<sup>58</sup>

Comparison of the *Sphingomonas* glycolipid, GalA-GSL, and  $\alpha$ -GalCer gives insight into the role of the 4-hydroxyl group. The bacterial glycolipids possess a similar lipid structure as  $\alpha$ -GalCer with major differences in the length of the fatty acyl chain, C14 versus C26, respectively, and the absence of the 4-hydroxyl group in the bacterial lipid structure. As expected,  $\alpha$ -GalCer was the most potent of these compounds, and the existence of crystallographic structures for both GalA-GSL and  $\alpha$ -GalCer in complex with CD1d offers a view into the role of the 4-hydroxyl.<sup>59</sup> Asp 80 of CD1d hydrogen bonds with the 3- and 4-diol causing a lateral shift of  $\alpha$ -GalCer in the binding pocket. In the case of bacterial glycolipid, only one hydroxyl group is available for hydrogen bonding with Asp 80 and thus sits lower in the binding groove, effecting how it is presented by CD1d. In another study, substitution of the phytosphingosine with a sphingosine tail, that is, replacement of the 4-hydroxyl group with a double bond, also reduced activity<sup>60</sup> in an  $\alpha$ -sulfatide analog.

Two other lipid scaffolds, in addition to the ceramide base, have also been observed in natural and synthetic NKT cell antigens. Serine-based lipids have been utilized as a ceramide mimic with success in other applications. However, galactosyl serine-type ceramide analogs were not as potent as the ceramide-based glycolipid.<sup>61</sup> This may indicate that a proton donor is preferred at least in the 3-position of the lipid moiety. Glycerol-type scaffolds, found in known CD1d-presented antigens phosphatidylinositol mannoside (PIM),<sup>62</sup> phosphatidylcholine (PC),<sup>14</sup> phosphatidyl ethanolamine (PE),<sup>63</sup> and the *Borellia* glycolipid,  $\alpha$ -galactosyl 1,2-diacyl *sn*-glycerol, are also functional frameworks.<sup>64</sup> Interestingly, small variations in the acyl tail length and degree of unsaturation had great influence upon antigenic potency though overall, the potency of *Borellia* glycolipids was weaker than that of  $\alpha$ -GalCer.<sup>65</sup> These compounds lack the corresponding phytosphingosine 2-amide, 3- and 4-hydroxyl group which were observed to make important hydrogen bonding interactions with CD1d in crystallographic structures. Overall, glycolipids containing ceramide-based frameworks are generally more potent in the activation of NKT cells than glycerol-based compounds (Fig. 7).

### 3.3. Modification of lipid chains

Crystal structures of various glycolipids in complex with mouse and human CD1d confirmed that the lipid chains were accommodated in the binding groove created by the  $\alpha$ 1 and  $\alpha$ 2 domains.<sup>10–14</sup> Hydrophobic interactions between the lipid tails and residues lining the binding groove of CD1d are the principal contributing factors of binding energy and therefore, a number of SAR studies modifying lipid chains have been reported resulting in interesting changes to the cytokine release profile of NKT cells. Savage and coworkers showed that truncation of the fatty acyl or the phytosphingosine chains has shown to bias cytokine secretion toward a Th2 response.<sup>45</sup> Similarly, OCH selectively induces Th2 cytokines from NKT cells and suppressed autoimmune diseases such as experimental autoimmune encephalomyelitis (EAE) and diabetes in NOD mice.<sup>46</sup> Also, introduction of unsaturation into the fatty acyl chain



**Figure 7.** Modification of phytosphingosine chain. (A) Structures of GalGSL, GalAGSL, serine analogs and BbGL-II compounds. (B) IL-2 secretion by murine 1.2 hybridoma when stimulated by *Sphingomonas* GSLs (ng/well) loaded on CD1d-coated plates. CD1d molecules (10  $\mu\text{g}/\text{mL}$  in PBS) were coated in a 96-well plate by incubation for 1 h at 37  $^{\circ}\text{C}$ . IL-2 release was measured after 16 h of culture in a sandwich ELISA. (C) IL-2 secretion by murine hybridoma 2C12 when stimulated by BbGL-II analogs and GalAGSL (ng/well) loaded on CD1d-coated plates. Release of IL-2 was measured by ELISA of the supernatant after 16 h of culture. BbGL-IIa, ( $\text{R}_1$  = Palmitoyl,  $\text{R}_2$  = Oleyl); b, ( $\text{R}_1$  = Palmitoyl,  $\text{R}_2$  = Linoleyl); c, ( $\text{R}_1$  = Oleyl,  $\text{R}_2$  = Palmitoyl); d, ( $\text{R}_1$  = Oleyl,  $\text{R}_2$  = Linoleyl); e, ( $\text{R}_1$  = Linoleyl,  $\text{R}_2$  = Palmitoyl); f, ( $\text{R}_1$  = Linoleyl,  $\text{R}_2$  = Oleyl); g, ( $\text{R}_1$  = Palmitoyl,  $\text{R}_2$  = Stearyl); h, ( $\text{R}_1$  = Palmitoyl,  $\text{R}_2$  = Palmitoyl).

of  $\alpha$ -GalCer, C20:1 *cis/trans* and C20:2 analogs seems to bias toward a Th2 response.<sup>48</sup> Although the origins of the Th2 bias are somewhat unclear, a possibility introduced to partially explain the differences relates to the relative stability of the glycolipids in complex with CD1d.<sup>66</sup> A direct relationship has been shown correlating the shortening of the length of the lipid tails and the biasing of the cytokine release profile toward a Th2 response.<sup>45</sup> Miyake and coworkers demonstrated that IFN- $\gamma$ , a Th1-type cytokine, production by NKT cells requires longer TCR stimulation than IL-4 production. Thus, glycolipids with shorter lipid tails have reduced ability to form stable complexes with CD1d. This altered stability of the CD1d/glycolipid complex directly influences the stability of the TCR/glycolipids/CD1d complex, which may be a factor in the cytokine profile produced.

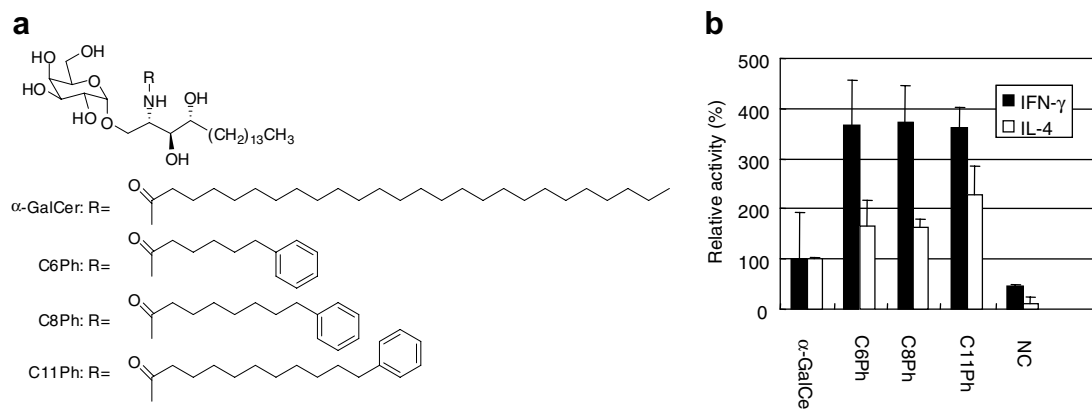
Conversely, we have found that introduction of terminal aromatic groups into the fatty acyl tail of  $\alpha$ -GalCer enhances stability of the glycolipid/CD1d complex and biases the profile toward a Th1 response.<sup>67</sup> A majority of the binding energy between the acyl tails and CD1d is mainly due to non-specific hydrophobic interactions. Therefore, through inclusion of one or multiple aromatic groups in either acyl chain, additional favorable interactions could be introduced via ring stacking or other more specific contacts. The vital amino alcohol stereocenters of the sphingosine would be kept and

therefore the orientation of the sugar should be held intact or only subtly affected.  $\alpha$ -GalCer analogs bearing a 6-phenylhexanoyl (C6Ph), 8-phenyloctanoyl (C8Ph), or 11-phenylundecanoyl group (C11Ph) as the fatty acyl chain demonstrated more potent overall cytokine production and biased NKT cell activation toward Th1 type response as measured by IFN- $\gamma$  cytokine production (Fig. 8).

In vivo studies of the aromatic ring containing  $\alpha$ -GalCer analogs also supported Th1-type biased NKT cell activation.<sup>68</sup> Glycolipids that induced more Th1-biased cytokines in vitro exhibited greater anticancer activities in mice bearing breast or lung cancers.

Modeling of selected glycolipids in the hCD1d hydrophobic groove predicted binding of the aromatic analogs to be in a similar fashion as observed in the crystal structure of  $\alpha$ -GalCer and CD1d. The phytosphingosine and fatty acyl tail extended into the F' and A' pockets, respectively, and there was not a notable shift of the galactose headgroup. The installation of a terminal aromatic group at the end of the fatty acyl tail seemed to allow for additional specific interactions with the aromatic side chains lining the CD1d pocket.

A competitive binding assay system using isoelectric focusing (IEF) electrophoresis was conducted as a qualitative method to relate binding affinities of glycolipids



**Figure 8.** Modification of fatty acyl chain of  $\alpha$ -GalCer. (a) Structure of aromatic fatty acyl chain analogs. (b) IFN- $\gamma$  and IL-4 secretion by human NKT cell line when stimulated by the 10 ng/mL of indicated glycolipids. IFN- $\gamma$  and IL-4 release was measured after 16 h of culture. Results are expressed as relative activities as mean of duplicate assays  $\pm$  SD. Representative data from one of three experiments are shown.

to CD1d.<sup>69</sup> In our hands, C6Ph, C8Ph, and C11Ph demonstrated more potent inhibition of GT1b-hCD1d binding than  $\alpha$ -GalCer and a less potent analog bearing an isonicotinoyl group as fatty acyl chain (4-Py). Therefore, we suspect that introduction of more specific interactions between glycolipid and CD1d greatly enhances the overall production of both Th1 and Th2 type cytokines and also skews the balance toward a Th1 type response. C6Ph, C8Ph, and C11Ph represent the first examples of NKT cell agonists which are more potent than  $\alpha$ -GalCer and also exhibit a stronger Th1 cytokine response, possibly due to enhanced binding to CD1d. However, the origin of the enhanced potency and Th1 selectivity remains to be fully addressed. These new synthetic glycolipid compounds with altered binding to CD1d yield novel therapeutic compounds and extend the library of glycolipids available for the study of NKT cell biology.

### 3.4. The search for 'Natural' NKT antigens

Due to the unusual origin and structure of  $\alpha$ -GalCer, it has mainly been thought of as a surrogate ligand for CD1d-mediated NKT cell activation.<sup>37</sup> Although glycosphingolipids are commonly found within mammalian cellular membranes, they typically contain a  $\beta$ - and not an  $\alpha$ -anomeric linkage between the sugar and ceramide. The  $\alpha$ -anomeric configuration between the sugar and ceramide is essential for activity since  $\beta$ -GalCer is not able to cause NKT cell activation.<sup>30</sup> However, the endogenous antigen, isoglobotrihexosylceramide (iGb3), has the ability to stimulate NKT cells despite the  $\beta$ -linkage between the sugar and ceramide.<sup>70</sup> The requisite  $\alpha$ -linkage is found at the terminal sugar of the iGb3 trisaccharide. There also has been no biochemical detection of  $\alpha$ -linked glycosphingolipids in mammalian cells. It is also doubtful that mice and human T-cell populations are selected for the recognition of marine sponge antigens. The physiological significance of  $\alpha$ -GalCer in mice and humans remained unclear, as it was unknown why an  $\alpha$ -galactosyl ceramide of marine origin was such a potent NKT cell agonist. This issue has raised many questions as to the nature of the physiological ligand for CD1d-restricted NKT cells and has

led to the investigation of mammalian, bacterial, and plant species as sources of natural ligands for NKT cells. In addition, the identification of biologically relevant antigens may offer some insight to understanding how structure influences the cytokine release profile.

$\alpha$ -GalCer's unique structural features provided clues in the search for more physiologically relevant antigens. *Sphingomonas* bacteria, commonly found in soil and sea water, are highly abundant in the environment.<sup>71</sup> They are Gram-negative, LPS-negative bacteria in which the outer LPS membrane has been replaced with glycosphingolipids (GSL).<sup>72–74</sup> The composition of the GSL layer has been characterized in detail and shown to comprise of  $\alpha$ -glucuronosylceramides. Three independent studies have reported that these glycosphingolipids (GSLs) of the bacterial cell wall were shown to be broadly recognized by both mice and human NKT cells. Although structurally similar to  $\alpha$ -GalCer, the *Sphingomonas* GSLs are unique because they contain glucuronic or galacturonic acids  $\alpha$ -linked to a ceramide base. Differences in the length of the *N*-fatty acyl tail and the absence of a sphingosine 4-OH also make *Sphingomonas* GSLs distinct from  $\alpha$ -GalCer.

Glycolipids from more virulent strains of bacteria also promise to be sources of CD1d presented antigens. Lyme disease is caused by the tick-borne spirochete *Borrelia burgdorferi* and is transmitted to humans through the bites of infected ticks. A serious infectious disease affecting over 15,000 people a year, it has become the most common vector-borne disease in the United States.<sup>75,76</sup> CD1d has been implicated to play a role in the initial host resistance to *B. burgdorferi* infection. CD1d-deficient (CD1d<sup>−/−</sup>) mice were shown to have an impaired defense against infection by *B. burgdorferi*, making the bacterium's glycolipids attractive compounds for further study as possible natural CD1d antigens.<sup>77,78</sup> Two major classes of *B. burgdorferi* glycolipids (BbGL), which comprise approximately 36% of the total lipid mass, were structurally characterized as cholesteryl 6-*O*-acyl- $\beta$ -D-galactopyranoside (*B. burgdorferi* glycolipid 1, BbGL-I) and 1,2-di-*O*-acyl-3-*O*- $\alpha$ -D-galactopyranosyl-*sn*-glycerol (BbGL-II).<sup>79</sup> BbGL-II was of



special interest not only because of its  $\alpha$ -configuration but also because its lipid moiety closely resembles phosphatidyl choline or phosphatidyl ethanolamine, two glycolipids found to bind CD1d.<sup>63,80</sup> Although galactose was the only saccharide detected, a variety of major, C16:0 and C18:1, and minor, C14:0, C18:0, and C18:2, fatty acids were found during NMR analysis suggesting that BbGL-I and -II were acylated with a mixture of fatty acids. Additionally, as in the case of the *Sphingomonas* bacteria, there has been no evidence for the presence of LPS in the *Borrelia* species,<sup>81</sup> making these glycolipids possible alternative antigens. The discovery of such bacterial antigens suggests that they may serve as triggers for an innate-type immune response providing protection against bacteria that lack cell-wall ligands such as LPS and cannot be detected by the Toll-like receptors (TLRs).

### 3.5. Concluding remarks

Over the past decade, it was shown that NKT cells play critical roles in both innate and adaptive immunity. Various natural/synthetic glycolipids which bind to CD1d were made known to activate NKT cells via CD1d-mediated antigen presentation. Some of these glycolipids seemed to possess a more biased cytokine profile for either Th1 cytokines or Th2 cytokines than that of  $\alpha$ -GalCer, the first glycolipid reported to activate NKT cells. Whether this skewing of the profile was directly correlated to the binding affinity of the glycolipids to CD1d or a combination of other factors has not been definitively determined. A comprehensive study comparing side-by-side the disassociation constants of the new numerous  $\alpha$ -GalCer analogs to their respective Th1/Th2 cytokine ratios could help interrelate these two factors.

Moreover, the natural role of NKT cells and how they regulate Th1/Th2 balance has not been clearly established. More information is needed relating the structure of CD1d-presented glycolipids to NKT cell activation and regulation of immune responses downstream. The systematic modification of  $\alpha$ -GalCer to alter its trafficking and/or physical properties may lead to new therapeutically useful ligands. Identification of additional natural NKT cell antigens would give insight into the biological role NKT cells play in immune regulation and offer new scaffolds upon which to design better agonists. Ultimately, the advent of glycolipid-based immunomodulation is dependent upon more fully understanding NKT cell biology.

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